



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/891,925	06/27/2001	Mitsuhiro Yano	198786US2 RE	3745
22850	7590	10/21/2008	EXAMINER	
OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, P.C. 1940 DUKE STREET ALEXANDRIA, VA 22314			HU, SHOUXIANG	
			ART UNIT	PAPER NUMBER
			2811	
			NOTIFICATION DATE	DELIVERY MODE
			10/21/2008	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com
oblonpat@oblon.com
jgardner@oblon.com

Office Action Summary	Application No.	Applicant(s)	
	09/891,925	YANO ET AL.	
	Examiner	Art Unit	
	Shouxiang Hu	2811	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) Responsive to communication(s) filed on 29 August 2008.
 2a) This action is FINAL. 2b) This action is non-final.
 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) Claim(s) 1-22 is/are pending in the application.
 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
 5) Claim(s) _____ is/are allowed.
 6) Claim(s) 1-22 is/are rejected.
 7) Claim(s) _____ is/are objected to.
 8) Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) The specification is objected to by the Examiner.
 10) The drawing(s) filed on 29 August 2008 is/are: a) accepted or b) objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 a) All b) Some * c) None of:
 1. Certified copies of the priority documents have been received.
 2. Certified copies of the priority documents have been received in Application No. _____.
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ . |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____. | 6) <input type="checkbox"/> Other: _____ . |

DETAILED ACTION

Reissue Applications

Applicant is reminded of the continuing obligation under 37 CFR 1.178(b), to timely apprise the Office of any prior or concurrent proceeding in which Patent No. 5,945,692 is or was involved. These proceedings would include interferences, reissues, reexaminations, and litigation.

Applicant is further reminded of the continuing obligation under 37 CFR 1.56, to timely apprise the Office of any information which is material to patentability of the claims under consideration in this reissue application.

These obligations rest with each individual associated with the filing and prosecution of this application for reissue. See also MPEP §§ 1404, 1442.01 and 1442.04.

Drawings

Figures 2 and 7-12 are objected to because inconsistencies are found therein.

According to the newly amended specification, the gate insulating film 7 and silicon oxide film 161 of silicon oxide, such as the ones shown in Fig. 8, are both formed by thermal oxidation. However, it is not clear how and/or why these two films could have such apparently substantial difference in thickness, if they are both formed of a same step of thermal oxidation.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

Specification

The disclosure is objected to because of the following informalities:
About the amendment proposed in the last amendment for the paragraph at column 11, lines 26-32, the term of "is" should read as --are-- in the proposed phrase of: "the gate insulating film 7 and silicon oxide film 161 of silicon oxide **is** formed by thermal oxidation."

Appropriate correction is required.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-22 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 1 and 12 each recite the subject matters that a first semiconductor region of a second conductivity type formed selectively in said first major surface of said first semiconductor layer so that said first semiconductor layer remains along a peripheral portion of said first major surface, and said first semiconductor layer remains in a form of an insular region in a plane view in a central portion of said first major surface.

However, they each fail to clarify:

(A) What in, and/or which portion(s) of, and/or in what sense, the recited first semiconductor layer definitely remain(s) along the recited peripheral portion and/or in the form of an insular region in a plane view in a central portion of said first major surface, given that, regardless what it is formed and/or how and/or where it is formed for and/or inside the recited first semiconductor region, it does not in any way definitely further define the recited first semiconductor layer, since the recited first semiconductor region itself is formed in, thus always remains a part of, the recited first semiconductor layer. In other words, the recited first semiconductor layer as an entity remains in its entirety in the final structure of the recited device, regardless whether or not portions of it may have been altered in doping state. It is noted that, according to the original

disclosure of the instant invention, it should be the original doping state and/or originally doped concentration in the recited first semiconductor layer, not the first semiconductor layer itself, that remains in relevant portions/regions of the main surface of the first semiconductor layer.

(B) What is/are definite positional and/or compositional relationship(s) between the recited first semiconductor region and the recited/implicated remaining region(s) of the recited first semiconductor layer.

(C) The definite meaning for the recited term of "remains in a form of an insular region"; and/or, what is or what forms the insular region.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-4, 7-9, 12-15 and 18-20, insofar as being in compliance with 35 U.S.C. 112, are rejected under 35 U.S.C. 102(b) as being anticipated by Applicant's admitted prior art ("AAPA").

AAPA discloses a semiconductor device (Figs. 19-21), comprising: a first semiconductor layer (3; N) of a first conductivity type having first and second major surfaces; a first semiconductor region (11; P) of a second conductivity type formed selectively in said first major surface of said first semiconductor layer; the original/initial

Art Unit: 2811

doping state of said first semiconductor layer remains along/in a peripheral portion of said first major surface and also remains in the form of an insular region (such as the portion or portions of region or regions 5 under the opening 13) in at least one central portion (the one that is directly under the opening 13) of said first major surface in a plane view; a second semiconductor region (6, under the opening 13; N) of the first conductivity type formed in a surface of said first semiconductor region, with a channel region provided between said second semiconductor region and said insular region of said first semiconductor layer; a gate insulating film (7) formed on a surface of said channel region; a first gate (a specific portion of 8 that is directly under the opening 13) formed on said gate insulating film and formed substantially adjacent (i.e., substantially close or near) said peripheral portion; an interlayer insulating film (12) formed at least on said first gate; a first main electrode (10) formed over a surface of said interlayer insulating film and covering a surface of said second semiconductor region, said first main electrode being electrically connected to said second semiconductor region and (at least a portion of layer 10) having an end extending to a boundary (any region(s) therebetween or therein) between the peripheral portion of said first major surface and the central portion of said first major surface; a second main electrode (19) formed on said second major surface of said first semiconductor layer; a second semiconductor layer (1; P); and,

an integral semi-insulating plasma CVD nitride film (14) covering at least the peripheral portion of said first major surface other than at least one specific central portion (the center portion that is directly under the opening 13) of said first major

Art Unit: 2811

surface and not extending above at least one upper portion of a region that said first gate (the portion of 8 that is directly under opening 13) is formed, said integral semi-insulating plasma CVD nitride film naturally having a conductivity which substantially does not lose function as an insulating film and substantially stabilizes breakdown voltage characteristics of the semiconductor device.

Regarding claims 3, 4, 8, 9, 14, 15, 19 and 20, the semiconductor device of AAPA further comprises a second gate (17) not covered with said first main electrode; and a gate interconnection line (9) formed selectively on a surface of said second gate, wherein a trench is formed between said first main electrode and said gate interconnection line for electrical isolation between said first main electrode and said gate interconnect line, wherein said first gate and said second gate are integrally formed and electrically connected; and wherein said plasma CVD nitride film further extends from a surface of said gate interconnection line through said trench to a portion of a surface of said first main electrode.

Regarding claims 12-22, it is noted that each of the above identified regions can also be regarded as each being formed of multiple regions.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 5, 6, 10, 11, 16, 17, 21 and 22, insofar as being in compliance with 35 U.S.C. 112, are rejected under 35 U.S.C. 103(a) as being unpatentable over AAPA.

The disclosure of AAPA is discussed as applied to claims 1-4, 7-9, 12-15 and 18-20 above.

Although AAPA does not expressly disclose the specific conductivity for the semi-insulating nitride film, it is noted that the conductivity of such a nitride layer used for field relieving is an art-known resulted-oriented parameter of importance subject to routine experimentation and optimization; and that these recited ranges of conductivity are well within (and/or, overlapping with) the art-known common range for the conductivity of such a nitride layer used for field relieving.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to make the device of AAPA with the conductivity of the semi-insulating nitride layer being formed within a range that is substantially within or overlapping with the one as that recited in the claims, so that a semiconductor device with optimized performance would be obtained, as it has been held that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." In re Aller, 220 F.2d 454, 456, 105 USPQ 233, 235 (CCPA 1955).

Response to Arguments

Applicant's arguments filed on August 29, 2008 have been fully considered but they are not persuasive.

Applicant's main arguments include: applicant's admitted prior art ("AAPA") does not disclose the claimed invention, especially that of the recited nitride film. In response it is noted that, insofar as being in compliance with 35 U.S.C. 112, the integral semi-insulating plasma CVD nitride film (14) in AAPA does cover at least the peripheral portion of said first major surface, but not cover at least one specific central portion (i.e., the specific center portion that is directly under the opening 13) of the first major surface and does not extend above at least one specific upper portion of the above identified first gate (the specific portion of 8 that is directly under the opening 13), regardless whether or not it (the integral semi-insulating plasma CVD nitride film 14) may also extend above any other upper portion(s) of the first gate or any upper portion(s) of any other gate(s), given that the claims are in an open-ended manner, which does not exclude any other extension(s) for the recited integral semi-insulating plasma CVD nitride film in the claims.

Applicant's arguments appear to intend to imply that AAPA fails to show the feature of the instant invention that the integral semi-insulating plasma CVD nitride film does not extend above any upper portion of any gate or gates in the claimed device, or that it does not extend above an upper portion of any of the first gates (8). However, it is noted that such features upon which applicant relies are not recited in the rejected claim(s). Applicant is reminded that: Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). In this case, the integral semi-insulating plasma CVD nitride film (14) in AAPA indeed does not cover at least

one specific central portion (the center portion that is directly under the opening 13) of the first major surface, and it indeed does not extend above at least one specific upper portion of a region that the first gate (the specific portion of 8 that is directly and/or strictly under the opening 13) is formed.

Furthermore, regarding applicant's arguments against the "112"-type rejections, applicant's arguments appear to intend to imply that: it is the initial doping state of the recited first semiconductor layer that remains in the recited relevant portions. However, such seemly intended implications are not necessary included in the rejected claims. For example, the doped regions (11) in the instant invention can still be regarded as a portion of the recited first semiconductor layer and remain at the surface of the peripheral portion. Thus it is noted here again that: Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shouxiang Hu whose telephone number is 571-272-1654. The examiner can normally be reached on Monday through Friday, 8:30 AM to 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lynne Gurley can be reached on 571-272-1670. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Shouxiang Hu/
Primary Examiner, Art Unit 2811